



SCALE OF FEES FOR THE SUPERVISED INSTITUTIONS (EXCLUDING VAT)

A. Application fees

Ordinary processing fee	CHF 2'000.-
Additional fee for urgent treatment, on request	CHF 2'000.-
Consultative session for candidates for supervision, optional	CHF 1'000.-
Additional fee for complex files or withdrawn after analysis	CHF 2'000.-

B. Annual on-going supervision fees

Fixed fee	CHF 3'750.-
Additional fee per accountable manager	CHF 250.-
Additional fee by In-house company, IHC (non-operating company)	CHF 500.-

Variable fee

Calculated annually on the basis of risk category, assets under management or under advisory for Portfolio Managers, and "full-time equivalents" for trustees.

C. Fees for services

Simple answers to supervised institution by telephone or e-mail	Free
Additional demand generated by the supervision process	CHF 100.- per additional request
Changes subject to declaration to OSIF	CHF 100.- per change
Changes subject to FINMA authorisation	CHF 500.- per change
Supervisory interview, on-site inspection, remedial order special audit, notification of default to FINMA, termination or other special services	CHF 250.-/hour
Fee for late return of an audit report	CHF 1'000.-

D. Recharge of FINMA fees and charges

FINMA fee related to supervised institution (invoiced to OSIF by FINMA)	100% re-invoiced
FINMA tax related to the OSIF	Distributed between the supervised institutions on a pro-rata basis

Notice of OSIF fees

Since its creation, OSIF has kept its operating expenses to a minimum.

Until 2023, the bulk of these costs will be incurred in examining applications from financial institutions for FINMA authorisation.

From 2024 onwards, our organisation will have to take into account the surveillance activities of those entities that are now authorised.

Over the course of the year, OSIF reviewed its model for allocating the costs charged to authorised entities, taking into account all the requirements imposed by FINMA or by law (risk management and weighting, constitution of reserves, etc.), and assessing all the parameters likely to come into play.

OSIF therefore aims to distribute costs (fixed and variable) among the authorised entities supervised by our organisation, according to criteria such as business activity, company size, assets under management, level of risk and number of employees, in order to achieve the fairest possible distribution.

Our annual reporting and monitoring fees consist of :

- the unchanged fixed basic fee of CHF 3,750/year/registrant, plus :
- CHF 250 per qualified executive,
- an additional fee of CHF 500 for each In-House Company (IHC) included in the scope of surveillance,
- a variable fee, weighted by assets under management and advice for asset managers, by FTEs for trustees, and for all, by their level of risk.

OSIF will invoice separately the fees and charges levied by FINMA, with a margin of 3% to cover its administrative processing costs and del credere.

Finally, our organisation will charge fees according to the work carried out in connection with certain specific services (modifications, surveillance interviews, visits and investigations, etc.), in accordance with the attached fee schedule.

Thank you for your attention,

Yours faithfully